



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,854	10/01/2001	Kurt A. Zarefoss	82001-0189

24633  
HOGAN & HARTSON LLP  
IP GROUP, COLUMBIA SQUARE  
555 THIRTEENTH STREET, N.W.  
WASHINGTON, DC 20004

CONFIRMATION NO. 4038

## FORMALITIES LETTER



\*OC000000006997128\*

Date Mailed: 10/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

04/01/2002 BSAYAS11 00000087 09965854

02 FC:105

130.00 OP

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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#6 MP \$

PATENT  
ATTORNEY DOCKET NO.: 82001-0189



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of: Kurt A. ZAREFOSS et al. )  
Application No.: 09/965,854 ) Group Art Unit: 2161  
Filing Date: October 1, 2001 ) Examiner: Not Yet Known  
For: SYSTEM AND METHOD FOR )  
SUPPLY CHAIN MANAGEMENT, )  
INCLUDING COLLABORATION )

Commissioner for Patents  
**Box: Missing Parts**  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

This is in response to the Notice to Filing Missing Parts, dated October 30, 2001, in the above-identified application. Also enclosed with this Response is a Petition for a Three-Month Extension of Time for the prescribed petition fee of \$920.00 as designated under 37 CFR §1.17(a)(2).

1. Combined Declaration and Power of Attorney  
☒ Enclosed.  
This application was filed without an executed Declaration and Power of Attorney.
2. Assignment  
☒ An assignment of the invention to Manugistics, Inc. and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.  
☐ An assignment will be filed at a later date.

04/01/2002 BSAYAS11 00000087 09965854  
01 FC:117 920.00 OP  
Repln. Ref: 04/01/2002 BSAYAS11 0012355000  
DAH:501349 Name/Number:09965854  
FC: 704 \$740.00 CR

3. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS FOR FEE CALCULATION				
	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$740.00
Total Claims (37 C.F.R. §1.16(c))	20 - 20 =	0	\$ 18.00 each=	+\$ 0.00
Independent Claims (37 C.F.R. §1.16(b))	3 - 3 =	0	\$ 84.00 each=	+\$ 0.00
Multiple dependent claim(s), if any (37 C.F.R. §1.16(d))			\$ 280.00	+
<b>SUB-TOTAL =</b>				<b>\$ 740.00</b>
Surcharge under 37 C.F.R. § 1.16(e)			\$ 130.00	\$ 130.00
<b>Reduction by 1/2 for filing by a small entity</b>				<b>-\$</b>
<b>TOTAL FILING FEE =</b>				<b>\$ 870.00</b>

4. Fee Payment

- ☒ Enclosed.  
A check in the amount of \$1790.00 is enclosed as set forth in 37 C.F.R. 1.16(e) (including surcharge \$130.00, and three-month extension of time \$920.00).
- ☒ If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.
- ☐ Authorization is given to charge \$ to Deposit Account 50-1349 for the fees due as specified above.

5. ☒ **Except** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3)

HOGAN, HARRISON LLP  
PATENT ACCOUNTS, DC OFFICE  
555 13TH STREET, NW  
WASHINGTON, DC 20004

BANK OF AMERICA  
WASHINGTON, DC 20006  
15-120/540


10451

3/29/2002

PAY TO THE  
ORDER OF Commissioner of Patents and Trademarks

\$ \*\*1,790.00

One Thousand Seven Hundred Ninety and 00/100\*\*\*\*\*

DOLLARS 

OVER \$1,000 REQUIRES 2 SIGNATURES

MEMO 82001.0189 Fee/Surcharge/EOT

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